

**STATEMENT OF DISCLOSURE OF INTERESTS**  
**STATE AND LOCAL OFFICE HOLDERS, CANDIDATES AND APPOINTEES TO SUCH POSITIONS,**  
**NON-GENERAL ASSEMBLY MEMBERS, ETC.**

*Please see the attached Instructions before completing this form (the failure to timely and properly submit the required disclosure statement can, under T.C.A. §3-6-205, result in the imposition of civil penalties in amounts up to \$10,000). Attach additional pages as necessary. Note that this disclosure statement must be signed and the signature attested to by a witness in item 13. In addition, please be aware that the information listed on this statement may be posted on the Commission's website pursuant to T.C.A. § 8-50-501(d)(3).*

**1. NAME OF OFFICIAL OR CANDIDATE****2. PHONE NUMBER**


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**3. ADDRESS (Street or Rural Route****City****State****Zip Code)**


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**4. TITLE OF OFFICE HELD OR SOUGHT** *(Include district number, if applicable. If local office, include name of county or municipality)*


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**5. SOURCES OF INCOME**

a. List major source(s) of private income of more than \$1,000 and that of your spouse or minor child residing with you. "Major sources of private income" include, but are not limited to, offices, directorships and salaried employments. No dollar amounts need to be stated.

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**6. INVESTMENTS:** List any investment by you, your spouse or minor children residing with you in any corporation or other business organization in excess of ten thousand dollars (\$10,000) or five percent (5%) of the total capital. The name of the corporation or organization must be listed but no dollar amounts or percentages of the investment need be stated.

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**7. LOBBYING:** List any person, firm or organization for whom compensated lobbying is done by any associate, your spouse or minor children residing with you. Also, list any firm in which you, your spouse or minor children residing with you hold any interest for whom compensated lobbying is done. Explain the terms of any such employment, the subject matters lobbied and/or the measures to be supported or opposed.

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**8. PROFESSIONAL SERVICES:** List in general terms (by areas of the client's interests) the entities to which professional services, such as those of an attorney, accountant or architect, are furnished by you or your spouse.

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**9. RETAINER FEES:** List any retainer fee you receive from any person, firm or organization who is in the practice of promoting or opposing, influencing or attempting to influence directly or indirectly, the passage or defeat of any legislation before the Tennessee General Assembly, the legislative committees or the members thereof.

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**10. BANKRUPTCY:** List any adjudication of bankruptcy or discharge received in any United States district court within five (5) years of the date of this report.

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**11. LOANS:** List any loan or combination of loans for more than one thousand dollars (\$1,000) from the same source made in the previous calendar year to you, your spouse or minor children residing with you. Loans need not be disclosed on this report if they are:

- (1) From your immediate family (spouse, parent, sibling or child);
- (2) From a federally insured financial institution or made in accordance with existing law in the ordinary course of doing business of making loans. The loan must bear the usual and customary rate of interest, be made on a basis which assures repayment, evidenced by a written instrument and subject to a due date or amortization schedule;
- (3) Secured by a recorded security interest in collateral, bearing the usual and customary interest rate of the lender and made on a basis which assures repayment, evidenced by a written instrument and subject to a due date and amortization schedule;
- (4) From a partnership in which you have at least ten percent (10%) partnership interest;
- (5) From a corporation in which more than fifty percent (50%) of the outstanding voting shares are owned by you or by your immediate family (spouse, parent, sibling or child).

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**12. NO CHANGES IN ITEMS 5 - 11 (Check if applicable; this box can only be used if you have previously filed directly with the state Ethics Commission):**

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There have been no changes in the conditions listed in Items 5 – 11 since my previous report to the Tennessee Ethics Commission.

**13. TO BE SIGNED BY REPORTING OFFICIAL (must be attested to by a witness)**

I certify that the information contained in this disclosure statement is true and that it is a complete and accurate report in accordance with the Conflict of Interest Disclosure Act.

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Signature of Official or Candidate

Date

I, \_\_\_\_\_, the undersigned, do hereby witness the above signature, which was signed in my  
(Printed Name of Witness) presence:

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Signature of Witness

Date

*A copy of your completed form will be placed on the Shelby County Internet website.*



## Instructions to Statement of Disclosure of Interests

### Who Must File:

- All local public officeholders and all candidates for a local public office are required under the Comprehensive Governmental Ethics Reform Act of 2006 to file a "Statement of Disclosure of Interests" with the Tennessee Ethics Commission.
  - A "local public officeholder" is any state, county, municipal, school or other district or precinct office that is filled by voters (e.g., an elected office) as defined in T.C.A. § 2-10-102 (13)(A).
  - A "newly-appointed local public officeholder" is an individual who was appointed to fill a vacancy in an elected local public office.
- District attorneys general and public defenders for each judicial district and the Executive Director of the District Attorneys General Conference as well as the Attorney General and reporter;
- Each supreme court justice, each judge of the court of criminal appeals, each judge of the court of appeals and the administrative director of the courts;
- Each member of the state election commission, the state election coordinator and members of the registry of election finance;
- Each director of the Tennessee regulatory authority;
- Each delegate to a constitutional convention called to consider a new constitution or amendments to the Constitution of Tennessee;
- Members of the Board of Probation and Parole, members and the Executive Director of the Alcoholic Beverage Commission and Members of the Tennessee Ethics Commission; and
- The Chancellor of the Board of Regents and the president of each college or university governed by the Board of Regents (excluding directors of Tennessee technology centers) and the President of the University of Tennessee and the chancellor of each separate branch or campus of the University of Tennessee.

Office holders may complete items 1-4 and skip to item 12 if there has been no change in condition since a previous report was made to the Ethics Commission; *if you did not previously file this form SS-8005 directly with the state Ethics Commission then you must complete every section of this form.* Candidates and appointees to such office must always complete all of the items

### When Must the Statement Be Filed?:

- Candidates for a Local Public Office: File within **30 days** of the last day to qualify as a candidate;
- Current (2006) Officeholders: File with the Commission by no later than **January 31, 2007**, even if you have previously filed with your local county election commission.



- **Newly-Appointed Local Officeholders:** File within **30 days** of being appointed to office; (in addition, note that the government authority that appoints the newly-elected official must send notice to the Ethics Commission within 3 days of appointing the official);

Once submitted to the Commission, the Statement of Disclosure of Interests must be updated by January 31<sup>st</sup> of every year thereafter (e.g., by no later than January 31<sup>st</sup> of the following year).

*An amended Statement of Disclosure of Interests must be filed whenever reported conditions change because of the termination or acquisition of interests for which disclosure is required.*

**Where Should the Statement Be Filed?:** This disclosure statement must be filed with the Tennessee Ethics Commission, 201 4<sup>th</sup> Ave N, Suite 1820, Nashville, TN 37219. If you have questions, please feel free to contact the Commission's office at: (615) 253-8634 or e-mail us at [ethics.counsel@state.tn.us](mailto:ethics.counsel@state.tn.us).

